**Annex 1: Application form to apply for a temporary derogation to use a ‘highly hazardous’ pesticide and for renewal of derogations.**

* This form shall be used to submit derogation requests for the use of ‘highly hazardous’ pesticides to FSC (initial applications and applications for renewal).
* In cases of joint applications, common information can be provided together. Information that is not common shall be presented by applicant.
* All fields have to be filled for Management Units (MUs) of all scale categories, unless otherwise specified.
* All fields have to be filled for both initial applications and renewal applications, unless otherwise specified.
* In this context ‘scale’ refers to the size or extent of the Management Unit (MU).

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| **Scale category** | **Number of hectares in the Management Unit** |
| |  | | --- | | Small Scale | | ≤ 1,000 ha |
| |  |  | | --- | --- | | Medium scale |  | | Between small scale and large scale |
| |  |  | | --- | --- | | Large scale |  | | > 10,000 ha (plantations)  > 50,000 ha (non-plantation forest types) |

* Applications shall be submitted in English or Spanish.

**Part 1. GENERAL INFORMATION.**

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| **Application Submission date** |  | |
| **Name, and contact details of certification body submitting the application** |  | |
| **Active ingredient for which a derogation is being requested** |  | |
| **Trade name and formulation type of the pesticide** |  |
| **Method of application, application equipment and intended quantities** |  | |
| **Common and scientific name of the pest**  (or description of the problem /issue, as applicable) |  | |
| **Name and FSC certification codes of certificate holders[[1]](#footnote-1) requesting a temporary derogation.**  **Please indicate scale category and whether it qualifies as SLIMF.** |  | |
| **Scope for which a temporary derogation is being requested (Please, attach map if possible)** |  | |
| **Type of forest, species and expected forest area where use of the HHP is intended** |  | |

**Part 2. SPECIFIC INFORMATION**

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| **1. Demonstrated need** |
| a) Please describe briefly the silvicultural system (methods for site preparation, practices for harvesting, regeneration, time between rotations) in the MU(s) included in the scope of the requested derogation.  b) Please describe the Integrated Pest Management (IPM) system in place, including the plan to monitor the distribution and density of the targeted pest organisms in the MU(s).  c) Please indicate the thresholds above which, the damages caused by the targeted pest organisms are classified as severe and how they have been established.  d) Please indicate the population size of the targeted pest organism in the MU(s).  e) **(Fill in only if you represent a large-scale MU)**  Please indicate the conclusions of the comparative Cost/Benefit Analysis of using the requested pesticide versus other non-highly hazardous control alternatives,  The cost – benefit analysis shall include, at minimum, the following scenarios:   * no action vs. remedial control (short-term) * no action vs. preventive practices (long-term)   f) **(Fill in only if you represent a large-scale MU)**  Please provide a review carried out by independent experts of the Cost/Benefit Analysis in e).  g) **(Fill in only if you represent a medium or small-scale MU)**  Please describe possible non HHP alternatives to the use of the requested HHP and explain why they are not considered feasible to control the targeted pest organisms.  h) Please include an estimate of the amount of area over which the pesticide is to be applied and how much of the pesticide is expected to be used annually.  i) **(Fill in only if you are applying for the renewal of a derogation)**  Please attach a report on the implementation of the IPM system during the previous derogation period, covering at minimum:   * Brief description of the silvicultural system in the MU(s) included in the scope of the requested derogation. * A list of the monitored pest organisms. * The results of the annual monitoring of the target species in relation to the defined thresholds. * Quantitative data of the use of ‘highly hazardous’ pesticides per year for the full period of the existing derogation, areas of application and application method. * A description of the programs that have been implemented to investigate, research, identify and test alternatives to the ‘highly hazardous’ pesticide, and the results. |
| **2. Specified measures to prevent, minimize and mitigate impacts** |
| a) Please describe the best management practices (BMP) that will be implemented in the MU(s) to prevent, minimize and mitigate negative social and environmental impacts of the application of HHPs during the requested derogation period, covering at minimum: application method, water courses, land use or terrain and weather conditions.  b) Please describe personal protective equipment’s (PPE) for workers handling with HHP.  c) **(Fill in only if you represent a large or medium-scale MU)**  Please describe the training program on the use of the PPE and the application of the HHP that will be implemented in the requested derogation period.  d) **(Fill in only if you represent a large-scale MUs and you are applying for the renewal of a derogation)**  Please indicate the conclusions of the environmental and social impact assessment related to the use of HHP occurred during the previous derogation period.  e) Additional information (Eg: insurance providing coverages for pesticides related damage to environmental values and human health, etc.) |
| **3. Program to identify, investigate, and test alternatives to the ‘highly hazardous’ pesticide (including preventive silvicultural measures)** |
| a) **(Fill in only if you represent a large-scale MU)**  Please describe the research program (individually or in collaboration with other research agencies/institutions or commercial enterprises) and/or field trials of alternative non-chemical or less hazardous methods of pest management that have been planned for the requested derogation period, including devoted resources and expected timelines.  b) **(Fill in only if you represent a medium-scale MU)**  Please describe how you will support and/or be involved in a research program from research agencies/institutions (e.g. universities) or commercial enterprises in the requested derogation period, including devoted resources and expected timelines.  c) **(Fill in only if you represent a small-scale MU)**  Please describe the program to exchange information related to pesticides use with other forest managers, to contact research institutions and/or search in alternative databases, that will be  implemented in the requested derogation period.  d) **(Fill in only if you are applying for the renewal of a derogation)**  Please describe the programs that have been implemented to investigate, research, identify and test alternatives to the requested ‘highly hazardous’ pesticide, and the results. |
| **4. Stakeholder consultation** |
| a) Please indicate the dates when the stakeholder consultation was conducted.  b) Please indicate which affected stakeholders (eg. neighbouring, local communities, forest workers) have been consulted.  c) Please indicate other stakeholders consulted (e.g. government agencies for environmental protection or public health, scientific experts, regional/local authorities and associations, representatives of hunters, farmers or non-governmental organizations).  d) Please describe the information on hazards, intended use of the HHP and commitment to prevent, mitigate and/or repair damage to environmental values and human health that has been provided to stakeholders.  e) Please describe the consultation mechanism (i.e. public notices in local newspapers or on local radio stations, letters sent to potentially affected persons, meetings, field observations etc.) used to inform, consult and receive significant feedback.  f) Please summarize the comments received and how stakeholder concerns were addressed. (Where necessary, the original stakeholder comments may be requested). |
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| **5.Certification Body Evaluation of the compliance with the requirements of the previous derogation approval**  **(To be filled in by the certification body only in renewal applications)** |
| a) Please confirm if during the previous derogation period the applicant has identified and located on maps the streams, rivers, lakes and other water zones, as well as buffer zones and other sensitive areas (e.g. groundwater zone providing water for public consumption, natural reserves, conservation zones and protection areas for rare and threatened species, or habitat with biodiversity refuge.  b) Please confirm if during the previous derogation period the applicant has effectively implemented control measures to prevent, minimize and mitigate negative social and environmental impacts associated with the use of the ‘highly hazardous’ pesticides.  c) Please confirm if during the previous derogation period workers dealing with HHP were provided with appropriate training on the use of the PPE and the application of the HHP.  d) Please confirm if during the previous derogation period workers dealing with HHP were provided with appropriate personal protective equipment (PPE) and the use of them was enforced.  e) Please confirm if the applicant has implemented all the conditions set by the Pesticides Committee as part of the derogation approval. |

1. In the case of forest management enterprises applying for FSC certification, the FSC certificate holder code can be provided at a later stage, if and when the company achieves certification. [↑](#footnote-ref-1)